

Fast Facts

About ISS:

- 15+ years of experience
- Specialized expertise
- Custom development, migration, integration, and staff augmentation solutions
- Custom process assessments and improvements

About Corporate Universe:

- Complete securities processing system
- Lower cost of ownership
- Enhanced customer service
- Scalable, open architecture
- Guaranteed security
- Real-time processing
- Diverse debt and equity processing and calculation capabilities
- Multiple interest calculation methods
- Remote access
- Integrated Correspondence Tracking
- Multiple DTC interfaces
- Regulatory compliance

Corporate Universe™

The Complete Securities Processing System



As the global financial services landscape continues to evolve, legacy security processing systems cannot keep pace. Ultimately, after significant monetary and physical resources are dedicated to improving outdated functionality and technology, these legacy systems cannot perform the tasks required in today's market. Instead of using a system designed for yesterday's needs, it's time to transition to a complete system that is built with tomorrow's needs in mind. It's time for Corporate Universe.

Corporate Universe is the first securities processing system that provides functionality to process both debt and equity securities on a single system. The system is used by corporate trust departments, corporate and commercial transfer agents, and state treasuries to provide securities record keeping services to corporations, municipalities, and utilities.

KEY FEATURES

Open Architecture

- Open Environment
- Platform Independent
- Multi-dimensional
- Scalable

Real-Time Processing

- Online, Real-time Processing

Guaranteed Security

- 128-Bit Encryption
- Secure Socket Layer
- Password Protection
- User Level Functional Security
- Subject Matter Security
- Data Shadowing for Disaster Recovery

Diverse Debt Processing and Calculation Capabilities

- Bearer and Registered
- Puts/Tenders
- Collateralized Debt Obligations
- Municipal Financing
- Corporate Financing
- Equipment Leasing
- Commercial Paper
- Medium Term Notes
- Capital Appreciation

Diverse Equity Processing Capabilities

- Cash Dividends
- Stock Dividends
- Splits
- Exchanges

- Liquidations
- Dividend Reinvestment (full or partial)
- Optional Cash Infusions
- Reorganizations

Multiple Interest Calculation Methods

- 30/360, 30/360 (Bond Basis), 30/365
- Actual/Actual (AFB, ISMA, LEAP)
- Compound
- Discount 30/360, Discount 30/365 (Bond Basis), Discount 30/365
- Discount Actual/Actual (AFB, ISMA, LEAP)
- Variable
- More

Remote Access

- Allows Remote Third-party Access
- Facilitates Telecommuting

Batch Wire

- Tracks wires through various approval queues
- Facilitates Straight Through Processing via automatic feed to wiring systems

Structured Finance Transactions

- Enables effective Asset-backed Securities processing with automatic service table uploads

Depository Trust Company Interfaces

- DARS
- RARS
- FAST

- Pre-balancing
- Control Matching
- Electronic Presentment
- More

Enhanced Customer Service

- Integrated Customer Service Desktop feature allows customer service agents to quickly and easily view information associated with stocks and bonds, holders, and calls

Integrated Correspondence Tracking

- Tracks regulatory turnaround
- Measures departmental performance
- Ability to produce mail manifests and rejection letters
- Manages Workflow

Regulatory Compliance

- **IRS**
 - 1099INT, 1099DIV, 1099B, 1099OID
 - 1042S
 - W8, W9
 - B Notice, C Notice
- **SEC**
 - TA-2
 - Turnaround Reporting
- **FDIC**
 - RT-C (Formerly Schedule C)
- **SIC**
 - X17
- **Escheatment**
 - Inbound and Outbound Interfaces
- **OFAC**

Key Benefits

Offering the industry's most robust, feature-rich securities processing application, Corporate Universe processes more securities than any other system in the industry and is the only browser-based corporate trust and stock transfer system in existence. Key benefits include:

- **Complete System** – *Corporate Universe is the industry's only complete global securities processing system that offers functionality for all debt and equity securities.*
- **Lower Cost of Ownership** – *Corporate Universe's advanced technology and unified application reduce the number of systems needed to deliver effective securities processing. Lower cost of ownership is realized through diminished needs for physical resources (including multiple databases and extensive computer equipment) and the potential for reduced staffing.*
- **Scalability** – *Corporate Universe can continue to grow as your business does. Its scalable architecture can handle millions of records and hundreds of concurrent users—even as your business diversifies existing portfolios and purchases new ones.*

Technical Architecture

To deliver unmatched, reliable results, Corporate Universe takes advantage of leading technology including:

- Java, JavaScript
- Caché
- HTML, XML, ASP, JSP, CSP, ActiveX, COM
- Java Servlets, Enterprise Java Beans
- ODBC, JDBC
- UNIX, Solaris
- JRun Server
- Other Relational and Object Databases
- IBM MQSeries/ WebSphere MQ
- Automated Testing Tools
- Crystal Reports
- AppWorx
- Opalis

ISS Philosophy

In addition to delivering you the best products, Integrated Software Solutions, Inc. also provides you with unmatched service in application design, implementation, and support. Our Best People, Best Background, Best Service philosophy proves that you can trust ISS to deliver quality solutions without sacrificing the attention to detail that you deserve.

Best People

Headquartered in the heart of the Philadelphia region's high-tech corridor, ISS draws from one of the largest technology talent pools in the world. We have a senior team of qualified professionals who have been part of ISS for years, and we possess the ability to quickly add highly-qualified staff as needed.

Best Background in Complex Applications and Tools

Ask us about some of the uniquely challenging and complex problems ISS has solved. With an unparalleled focus on your bottom line, we understand process, workflow, operations, and results.

Best Service, Guaranteed

In the end, all we have to sell is our reputation. Therefore, nothing is more important to us than your satisfaction.

We welcome the opportunity to discuss how Integrated Software Solutions, Inc. can help make your strategic business objectives a reality. Call ISS today and find out why we deliver answers at the speed of light.

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